FORM 4

Check this box if no longer subject

to Section 16. Form 4 or Form 5 obligations may continue. See

Instruction 1(b).

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549
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STATEMENT	OF	CHANGES	IN BENE	FICIAL	OWNERS	SHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     Thomas John C					2. Issuer Name and Ticker or Trading Symbol NantKwest, Inc. [ NK ]									ck all applicable) Director		ting Person(s) to Issu		Owner		
	(Fir	, INC.	/liddle)		3. Date of Earliest Transaction (Month/Day/Year) 12/10/2020									Office below	er (give title v)	Э	Other (s below)			
3530 JOHN HOPKINS COURT			4. 1	4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line)								
(Street) SAN DII	SAN DIEGO CA 92121												X Form filed by One Reporting Person  Form filed by More than One Reporting  Person							
(City)	(Sta	ate) (Z	(ip)																	
		Table	I - Non-Deriv	ative	Secur	rities	Acq	uiı	red, D	ispose	d of	, or	Benefic	ciall	y Own	ed				
1. Title of Security (Instr. 3)  2. Transaction Date (Month/Day/Ye			ar) E	2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)		action	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 an				Beneficia Owned Followin		es Forn ially (D) o Indir ng (Inst		ect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
						c		de	v   .	Amount (A		) or )	Price		Reported Transaction(s) (Instr. 3 and 4)					
Common Stock			12/10/202	0		S		(1)		42,592	]	D	\$10.826	5 <sup>(2)</sup>	207,477		D			
Common Stock													13,886			I	See footnote	e <sup>(3)</sup>		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																				
1. Title of Derivative Security (Instr. 3)  2. Conversion or Exercise Price of Derivative Security  3. Transaction Date (Month/Day/Year)  3A. Deemed Execution Date, if any (Month/Day/Year)				saction e (Instr.	5. Nur of Deriva Secur Acqui (A) or Dispo of (D) (Instr. and 5	ative ities red sed 3, 4	Expiration Date			7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)  Amount or Number of		De Se (In	Price of crivative curity estr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)		10. Ownersh Form: Direct (D) or Indirec (I) (Instr.	Benef Owne t (Instr.	lirect ficial rship		
				Code V (A) (D)					Title Shares											

## **Explanation of Responses:**

- 1. The sale of shares reported on this Form 4 was effected pursuant to a Rule 10b5-1 trading plan entered into by the reporting person on September 10, 2020.
- 2. Represents the weighted average share price of an aggregate total of 42,592 shares sold in the price range of \$10.17 to \$11.14 by the reporting person. The reporting person undertakes to provide upon request by the Commission staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- 3. Reported shares held by the John C Thomas Jr. Trustee Susan T Thomas U/W DTD 5/10/96.

## Remarks:

/s/ Sonja Nelson, as Attorneyin-Fact

12/11/2020

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.