SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Add <u>Kusserow F</u>	2. Date of Ever Requiring State (Month/Day/Ye 07/27/2015	ement	3. Issuer Name and Ticker or Trading Symbol <u>NantKwest, Inc.</u> [NK]								
(Last) C/O NANTKV	(First) WEST, INC. OPKINS COU	(Middle)				tionship of Reporting Pers all applicable) Director Officer (give title	on(s) to Issuer 10% Owner Other (spec	5. If Amendment, Date of Original Filed (Month/Day/Year) 07/27/2015			
(Street) SAN DIEGO CA 92121 (City) (State) (Zip)					below)	below)			ndividual or Joint/Group Filing (Check licable Line) Form filed by One Reporting Person Form filed by More than One Reporting Person		
Table I - Non-Derivative Securities Beneficially Owned											
1. Title of Security (Instr. 4)						nt of Securities ally Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)		4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Stock						246,861 ⁽¹⁾	D				
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)											
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securiti Underlying Derivative Security			4. Conve or Exe	ercise	5. Ownership Form: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
			Date Exercisable	Expiration Date	Title		Amount or Number of Shares	Price o Deriva Securi	ative	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (right to buy)		(2)	03/17/2024		Common Stock	123,439(1)	0.21	161	D	

Explanation of Responses:

1. This Form 3/A is filed to amend the Form 3 filed July 27, 2015 to correct the number of shares beneficially owned.

2. Subject to the reporting person's continuing service, one twenty-fourth (1/24th) of the shares subject to the option shall vest each month on the same day as the vesting commencement date, such that the shares subject to the option shall become fully vested and exercisable on the second (2nd) anniversary of the vesting commencement date. The vesting commencement date for this option is March 17, 2014. The option originally covered 200,000 pre 1.8515 for 1 forward split shares.

Remarks:

<u>/s/ Daniel Horwood, as</u> <u>Attorney-in-Fact</u>

03/17/2016

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.