SEC Form 5

FORM 5

Check this box if no longer subject to Section 16. Form 4 or Form 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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OMB Number:	3235-0362							
Estimated average burden								
hours per response:	1.0							

Form 4 Transacti	ons Reported.	File			e Securities Exchange Act of 1934 ment Company Act of 1940						
1. Name and Addres		2. Issuer Name NantKwest		Trading Symbol 🤇	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner						
(Last) C/O NANTKWI	Middle)	3. Statement for 12/31/2015	Issuer's Fisca	al Year Ended (Month/Day/Year)	X	Director Officer (give title below)	e Otł	ner (specify ow)			
3530 JOHN HO		4. If Amendmen	t, Date of Orig	inal Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)						
(Street) SAN DIEGO	CA S	02121				X	Form filed by O Form filed by M Person				
(City)	(State) (Zip)									
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
Date (Month/Day/Year)			2A. Deemed Execution Date, if any	3. Transaction Code (Instr.	4. Securities Acquired (A) or Dispos Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially	6. Ownership Form: Direct	7. Nature of Indirect Beneficial		

		(Month/Day/Year) 8)		Owned at en		(D) or	Ownership				
		(Monthizay) real)	5)	Amount	Amount (A) or (D)		Issuer's Fiscal Year (Instr. 3 and 4)	Indirect (I) (Instr. 4)	(Instr. 4)		
Common Stock	12/28/2015		G	50,000	D	\$0.00	405,713	D			
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)											

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

Remarks:

/s/ Daniel Horwood, as Attorney-in-Fact

02/16/2016

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL **OWNERSHIP**

obligations may continue. See Instruction 1(b).	1
Form 3 Holdings Reported.	