FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, I	D.C.	20549
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL								
OMB Number: 3235-0287								
Estimated average burden								
hours per response:	0.5							

Instruc	tion 1(b).			Filed							ities Exchang ompany Act o		of 1934			Liidaid			0.0	
Name and Address of Reporting Person*     Simon Barry J.					2. Issuer Name <b>and</b> Ticker or Trading Symbol NantKwest, Inc. [ NK ]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)							
															ctor		10% Owner			
(Last) (First) (Middle)					Date of Earliest Transaction (Month/Day/Year)								X Officer (give title below)			Other (specify below)				
C/O NANTKWEST, INC.					05/11/2020								See remarks							
3530 JO	HN HOPKI	NS COURT																		
					4. If Amendment, Date of Original Filed (Month/Day/Year)							) 6.	6. Individual or Joint/Group Filing (Check Applicable							
(Street)						(								Line)						
SAN DII	EGO CA	A 9	2121										X Form filed by One Reporting Person							
-															Form Perso		re tha	an One Repo	orting	
(City)	(St	ate) (Z	Zip)																	
		Table	I - N	lon-Deriva	tive \$	Secu	rities	Ac	quire	d, Di	sposed of	, or E	Benefici	ially	Own	ed				
1. Title of Security (Instr. 3)  2. Transaction Date (Month/Day/Y			Execution Date,		e,	3. Transaction Code (Instr. 8)  4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4)				and 5) Secu Bene		rities Fo ficially (D ed Following (I)		m: Direct or Indirect Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)					
									Code	v	Amount	(A) or (D)	Price		Transa	Transaction(s) (Instr. 3 and 4)			(111301. 4)	
Common Stock 05/11/2				05/11/20	20			S <sup>(1)</sup>		28,068	D	\$5.504	048(2)		06,838		D			
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	Date (Month/Day/Year)   Execution Date, if any (Month/Day/Year)   Sec Acq (A) Distriction of (Instr. 2)   Execution Date, if any (Month/Day/Year)   Sec Acq (A) Distriction of (Instr. 2)   Execution Date, if any (Month/Day/Year)   Sec Acq (A) Distriction of (Instr. 2)   Execution Date, if any (Month/Day/Year)   Sec Acq (A) Distriction of (Instr. 2)   Execution Date, if any (Month/Day/Year)   Sec Acq (A) Distriction of (Instr. 2)   Execution Date, if any (Month/Day/Year)   Sec Acq (A) Distriction of (Instr. 2)   Execution Date, if any (Month/Day/Year)   Sec Acq (A) Distriction of (Instr. 2)   Execution Date, if any (Month/Day/Year)   Sec Acq (A) Distriction of (Instr. 2)   Execution Date, if any (Month/Day/Year)   Sec Acq (A) Distriction of (Instr. 2)   Execution Date, if any (Month/Day/Year)   Sec Acq (A) Distriction of (Instr. 2)   Execution Date, if any (Month/Day/Year)   Sec Acq (A) Distriction of (Instr. 2)   Execution Date, if any (Month/Day/Year)   Execution Date, if any (Mont				of Deriv Secu Acqu (A) of Dispo	r osed ) r. 3, 4	Expiration Date (Month/Day/Year)  (Month/Day/Year)  ad d  Amount or Securities Underlyin Derivative Security (I 3 and 4)  Am Or					Deri Sec	Price of erivative ecurity estr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s (Instr. 4)	у	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)		
					Code	Code V (A) (D)		Date Exer	cisable	Expiration Date	Title	Number of Shares								

## **Explanation of Responses:**

- 1. The sale of shares reported on this Form 4 was effected pursuant to a Rule 10b5-1 trading plan entered into by the reporting person on December 12, 2019.
- 2. Represents the weighted average share price of an aggregate total of 28,068 shares sold in the price range of \$5.50 to \$5.5350 by the reporting person. The reporting person undertakes to provide upon request by the Commission staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price.

## Remarks:

President and Chief Administrative Officer

<u>/s/ Sonja Nelson, as Attorney-</u> in-Fact

05/13/2020

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.