SEC Form 4
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## FORM 4

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287						
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hours per response:	0.5						

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Section obligat	ions may conti tion 1(b).		•	Filed				a) of the Sec				34			ated av per res	erage burden ponse:	0.5
1. Name and Address of Reporting Person <sup>*</sup> Cohen Cheryl					2. Issuer Name and Ticker or Trading Symbol NantKwest, Inc. [ NK ]							(Che	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner				
(Last) (First) (Middle) C/O NANTKWEST, INC.					3. Date of Earliest Transaction (Month/Day/Year) 06/10/2020								Officer ( below)	give title		Other (s below)	pecify
3530 JOHN HOPKINS COURT					4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street) SAN DI	(Street) SAN DIEGO CA 92121												X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(5	State)	(Zip)														
		Та	ble I - Nor	n-Deriva	tive S	ecuritie	s Ac	quired, I	Dispose	d of,	or Ben	eficially	Owned				
Date				2. Transad Date (Month/Da	Day/Year) Execu		2A. Deemed Execution Date, if any (Month/Day/Year)		e, Transaction Disposed Code (Instr.		rities Acquired (A) ed Of (D) (Instr. 3, 4		Beneficia Owned Fo	s Formally (D) collowing (I) (II		Direct I Indirect I str. 4)	7. Nature of Indirect Beneficial Ownership
								Code	V Amo	unt	(A) or (D)	Price	Transacti	Reported Transaction(s) (Instr. 3 and 4)			(Instr. 4)
			Table II -					uired, Di s, options					Dwned			· · · · · · · · · · · · · · · · · · ·	
	2. Conversion or Exercise Price of Derivative Security		3A. Deemed Execution Da if any (Month/Day/	Cod	saction e (Instr.	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
				Cod	e V	(A)	(D)	Date Exercisable	Expirati Date			Amount or Number of Shares		(Instr. 4)			
Stock Option	\$6.21	06/10/2020		А		100,000		(1)	06/10/20	)30 Co	ommon	100.000	\$0.00	100,00	00	D	

## Explanation of Responses:

1. Subject to the reporting person's continuing to be a Service Provider (as defined in the 2015 Equity Incentive Plan) through such applicable vesting date, one hundred percent (100%) of the shares subject to the award will vest on the earlier to occur of June 10, 2021 or the date immediately preceding the 2021 annual meeting of stockholders.

**Remarks:** 

(right to buy)

## /s/ Sonja Nelson, as Attorneyin-Fact

06/11/2020

\*\* Signature of Reporting Person Date

Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.