## SEC Form 5

X

## FORM 5

Form 3 Holdings Reported.

Explanation of Responses:

**Remarks:** 

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL
OWNERSHIP

OMB APPROVAL								
OMB Number:	3235-0362							
Estimated average	hurden							

Estimated average burden hours per response: 1.0

Form 4	1 Transactions	Reported.	Filed				e Securities Exch ment Company A								
1. Name and Address of Reporting Person* <u>Thomas John C</u>				2. Issuer Name <b>and</b> Ticker or Trading Symbol ImmunityBio, Inc. [ IBRX ]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner Officer (give title V Other (specify						
	(Fi MUNITYB HN HOPKI	,	Middle)	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2021						helow) A helow)					
(Street) SAN DII (City)			)2121 Zip)	4. If Amendm	ent, Date d	of Orig	ginal Filed (Month	n/Day/Y			i filed by C i filed by N	Dne Re	porting F	Person	ı
		Table	l - Non-Deriva	ative Securi	ties Acc	quire	ed, Disposed	l of, o	r Benefici	ally Own	ed				
Da		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year	Code (Instr.					Securities Beneficially		6. Ownership Form: Direct		7. Nature of Indirect Beneficial		
			(Month/Day/ real	) 8)		Amount	(A) or (D)	Price	<ul> <li>Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)</li> </ul>		(D) or Indirect (I) (Instr. 4)		Ownership (Instr. 4)		
Common Stock			02/23/2021		G		5,000	D	\$ <mark>0</mark>	0 202,477		1	)		
Common Stock										13,886			I	See footnote <sup>(</sup>	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr. 2) 8) 4 (Code (Instr. 2) 8) 4 (Code (Instr. 2) 8) 4 (Code (Instr. 2) 8) 6 8) 6 8) 7 8) 7 8) 7 8) 7 8) 7 8) 7 8) 7 8) 7	of Expira		iration Date A nth/Day/Year) S U D S		Title and nount of curities derlying rivative curity (Instr. and 4)	8. Price of Derivative Security (Instr. 5)			10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		11. Nature of Indirect Beneficial Ownershij (Instr. 4)

Date

Exercisable

(A) (D)

Amount or Number of Shares

/s/ Steven Yang, as Attorney-

\*\* Signature of Reporting Person

01/28/2022

Date

Expiration Date

Title

in-Fact

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v). \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

1. Reported shares held by the John C Thomas Jr. Trustee Susan T Thomas U/W DTD 5/10/96.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.