# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, DC 20549

CURRENT REPORT
Pursuant to Section 13 or 15(d) of
The Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): November 10, 2015

# NantKwest, Inc.

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction of incorporation) 001-37507 (Commission File Number) 43-1979754 (IRS Employer Identification No.)

3530 John Hopkins Court San Diego, California 92121 (Address of principal executive offices, including zip code)

(858) 633-0300 (Registrant's telephone number, including area code)

Not Applicable (Former name or former address, if changed since last report)

ck the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following isions (see General Instruction A.2. below):
Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

# Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

On November 10, 2015, NantKwest, Inc. (the "Company") appointed Angela Wilson as the Company's Chief Financial Officer, effective as of November 13, 2015, replacing Richard Gomberg, who served as the Company's Chief Financial Officer since January 2010 as an independent contractor pursuant to a services agreement with a financial business management firm.

Ms. Wilson (44) joined the Company as Vice President, Finance in October 2015. Ms. Wilson brings over 20 years of experience providing financial, accounting and tax services to public companies in the life sciences industry. She was with Ernst & Young (EY) for 18 years, last serving as Audit Partner from 2008 through January 2014, where she was responsible for providing audit and other business services to biotechnology clients such as Abraxis BioScience, Inc. and Peregrine Pharmaceuticals, including public offerings, business combinations, valuations, strategic business planning, systems conversions, financial reporting, Sarbanes-Oxley compliance and tax compliance and planning. At EY, Ms. Wilson served numerous public clients and was involved in various initial public offerings (IPOs) of biotechnology and health sciences companies. She has performed Audit Quality Reviews of public biotechnology companies throughout the United States. And Ms. Wilson also served as EY's West Leader of business resource group to promote women leaders, diversity and inclusiveness. From October 2014 through April 2015, Ms. Wilson was with Virgin Galactic as Vice President, Finance and Controller, hired to prepare the company for an IPO, and also responsible for financial reporting, budgeting and forecasting, cash management, overseeing the accounting and finance department and various other areas. Ms. Wilson earned her Bachelor of Science degree in accounting from the University of Tulsa, summa cum laude, and her Masters in Business Administration from Arizona State University. She is a member of the American Institute of Certified Public Accountants, the California Society of Certified Public Accountants and the Southern California Biomedical Counsel.

There are no family relationships between Ms. Wilson and any of the directors or executive officers of the Company, and there are no transactions in which Ms. Wilson has an interest requiring disclosure under Item 404(a) of Regulation S-K.

Ms. Wilson entered into an employment agreement with the Company effective as of October 19, 2015 (the "Effective Date"). Ms. Wilson will be paid an annual base salary of \$275,000 and she will be eligible to participate in the Company's annual bonus program, with a target bonus amount equal to forty-five percent (45%) of her then-current annual base salary. Ms. Wilson is also be eligible to participate in the Company's employee benefit plans and programs, including any savings or profit sharing plans, deferred compensation plans, equity incentive plans, and health, disability, insurance and other plans made available generally to the Company's senior executive officers. Ms. Wilson was granted 35,000 restricted stock units (RSUs) under the Company's 2015 Equity Incentive Plan, of which fifty percent (50%) will vest on the second (2nd) anniversary of the vesting commencement date and the remaining fifty percent (50%) will vest on the fourth (4th) anniversary of the vesting commencement date, in each case subject to Ms. Wilson's continued employment on those dates.

In the event that the Company terminates Ms. Wilson without "cause" (as defined in the Employment Agreement), within twenty-four (24) months following the Effective Date, the Company will pay Ms. Wilson a single cash payment equal to six (6) months of her then-current salary, less applicable withholding and conditioned upon Ms. Wilson signing a release of claims in favor of and satisfactory to the Company.

The foregoing summary of the Employment Agreement does not purport to be complete and is qualified in its entirety by reference to the full text of the Employment Agreement, a copy of which will be included with the Company's Annual Report on Form 10-K for the year ended December 31, 2015.

Item 9.01 <u>Financial Statements and Exhibits</u>.

(d) Exhibits

Exhibit No. Description

99.1 Press Release, dated November 17, 2015

### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

# NANTKWEST, INC.

Date: November 17, 2015 By: /s/ Patrick Soon-Shiong

Patrick Soon-Shiong

Chief Executive Officer and Chairman

# EXHIBIT INDEX

Exhibit No. Description

99.1 Press Release, dated November 17, 2015



## NantKwest Names Angela Wilson Chief Financial Officer

**Culver City, California, November 17, 2015** — NantKwest, Inc. (Nasdaq: NK), a clinical-stage immunotherapy company focused on harnessing the power of the innate immune system by using natural killer cells to treat cancer, infectious diseases and inflammatory diseases, today announced the appointment of Angela Wilson as chief financial officer (CFO). She succeeds Richard Gomberg, who served as the company's CFO since January 2010 as an independent contractor.

"Angie is an accomplished senior financial executive with extensive healthcare industry experience," said Patrick Soon-Shiong, M.D., chairman and chief executive officer of NantKwest. "For more than 20 years, she has provided senior-level financial, accounting and tax services to public companies. Angie brings a deep understanding of operations and transactions, public offerings, business combinations, Sarbanes-Oxley compliance and strategic business planning. We welcome Angie and look forward to her insights and contributions."

Wilson, a certified public accountant (CPA), first joined NantKwest in October 2015 as vice president, finance. Previously, Wilson served as vice president, finance and controller for Virgin Galactic, hired to prepare the company for an initial public offering. She began her professional career and served for 18 years with Ernst & Young (EY), a multinational professional services firm. At E&Y, she rose through the auditing ranks, most recently serving as audit partner, primarily working with biotechnology, pharmaceutical and healthcare companies. Wilson earned a master of business administration (MBA) degree from Arizona State University and a bachelor in accounting degree, summa cum laude, from the University of Tulsa.

#### About NantKwest Inc.

NantKwest (Nasdaq:NK) is a pioneering, next generation, clinical-stage immunotherapy company focused on harnessing the unique power of our immune system using natural killer (NK) cells to treat cancer, infectious diseases and inflammatory diseases. NK cells are the body's first line of defense due to the innate ability of NK cells to rapidly identify and destroy cells under stress, such as cancer or virally-infected cells.

NantKwest's unique NK cell-based platform, with the capacity to grow active killer cells as a biological cancer therapy, has been designed to induce cell death against cancer or infected cells by three different modes of action: (1) Direct killing using activated NK cells (aNK) that release toxic granules directly into the cell through cell to cell contact, (2) Antibody-mediated killing using haNKs, which are NK cells engineered to incorporate a high affinity receptor that binds to an administered antibody, enhancing the cancer cell killing effect of that antibody, and (3) Targeted activated killing using taNKs, which are NK cells engineered to incorporate chimeric antigen receptors (CARs) to target tumor-specific antigens found on the surface of cancer cells.

Our aNK, haNK and taNK platform addresses certain limitations of T cell therapies including the reduction of risk of serious "cytokine storms" reported after T cell therapy. As an "off-the-shelf" therapy, NantKwest's NK cells do not rely on a patient's own often compromised immune system. In Phase 1 clinical trials in patients with late stage cancer, NantKwest's NK cells have been successfully administered as an outpatient infusion therapy without any reported severe side effects, even at doses of 10 billion cells.

By leveraging an integrated and extensive genomics and transcriptomics discovery and development engine, together with a pipeline of multiple, clinical-stage, immuno-oncology programs that include a Phase 2 trial for a rare form of melanoma and the planned initiation of a clinical trial of NK cells targeted to breast cancer, we believe NantKwest is uniquely positioned to be the premier immunotherapy company and transform medicine by delivering living drugs in a bag and bringing novel NK cell-based therapies to routine clinical care.

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#### **Contact:**

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