SLC FUIII 4														
FOR	M 4	UNIT	UNITED STATES SECURITIES AND EXCHANGE COMMISSION											
				Washington, D.C. 20049								OMB APPROVAL		
Section 16. Form 4 or Form 5 obligations may continue. See				A pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940						RSH		OMB Number:     3235-0287       Estimated average burden        hours per response:     0.5		
1. Name and Address of Reporting Person*       Nelson Sonja       (Last)     (First)     (Middle)       C/O NANTKWEST, INC.     3530 JOHN HOPKINS COURT				2. Issuer Name and Ticker or Trading Symbol <u>NantKwest, Inc.</u> [ NK ] 3. Date of Earliest Transaction (Month/Day/Year) 01/04/2021							ationship of Reporting Person(s) to Issuer k all applicable) Director 10% Owner Officer (give title Other (specify below) Chief Financial Officer			
				4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Individual or Joint/Group Filing (Check Applicable				
(Street) SAN DIEGO CA 92121									Line) X	Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State)	(Zip)												
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned														
Date			2. Transaction Date (Month/Day/Ye	Execution Date,	3. Transa Code ( 8) Code	(Instr.			d 5)	5. Amount of Securities Beneficially Owned Follow Reported Transaction(s (Instr. 3 and 4	wing s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	

Μ

**S**(1)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

6. Date Exercisable and

Expiration Date (Month/Day/Year)

01/04/2021

3. Transaction

Date (Month/Day/Year)

Remarks:



\*\* Signature of Reporting Person

01/06/2021 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Expiration Date

22,729

39.271

A

D

7. Title and Amount

of Securities Underlying Derivative Security

(Instr. 3 and 4)

Title

\$3.07

\$13.6217(2)

Amount Number

Shares

22,729

of

59,681

20,410

9. Number of

derivative

Securities

Owned Following

177,271

Beneficially

8. Price of

Derivative

\$0.00

Security (Instr. 5)

Μ 22,729 (3) 08/27/2028 Stock

5. Number

of Derivative

Securities

Acquired (A) or

Disposed

(A) (D)

of (D) (Instr. 3, 4 and 5)

Explanation of Responses:

\$3.07

Common Stock

Common Stock

2.

Conversion

or Exercise Price of Derivative Security

1. Title of

Derivative

Security (Instr. 3)

Stock Option

buy)

(right to

1. The sale of shares reported on this Form 4 was effected pursuant to a Rule 10b5-1 trading plan entered into by the reporting person on September 4, 2020.

Code ν

Transaction

Code (Instr. 8)

01/04/2021

01/04/2021

3A. Deemed

Execution Date,

if any (Month/Day/Year)

2. Represents the weighted average share price of an aggregate total of 39,271 shares sold in the price range of \$13.31 to \$14.015 by the reporting person. The reporting person undertakes to provide upon request by the Commission staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price.

Date

Exercisable

3. Subject to the award recipient's continuing to be a Service Provider (as defined in the 2015 Equity Incentive Plan) through each applicable vesting date, the option shall vest as follows: twenty five percent (25%) of the shares subject to the option shall vest and become exercisable on August 27, 2019, and thereafter the remaining shares subject to the option shall vest and become exercisable in equal monthly installments over thirty six (36) months, such that the option will be fully vested and exercisable on August 27, 2022.

Reported Transaction(s) (Instr. 4)

D

D

10.

Ownership

Form: Direct (D)

or Indirect (I) (Instr. 4)

D

11. Nature

of Indirect

Beneficial

Ownership

(Instr. 4)

SEC Form 4