SEC Form 4	
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FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations may continue. See

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APP	ROVAL
	2225.02

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Instruction 1(b).		F	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940						
1. Name and Address of Reporting Person <sup>*</sup> Simon Barry J.			2. Issuer Name <b>and</b> Ticker or Trading Symbol NantKwest, Inc. [NK]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
<u>Simon Darry</u>	<u>J.</u>			X	Director	10% Owner			
(Last)	(First)	(Middle)	_	x	Officer (give title below)	Other (specify below)			
C/O NANTKWI 2533 SOUTH C		VAY 101, SUITE 210	3. Date of Earliest Transaction (Month/Day/Year) 07/27/2015	President and COO					
(Street) CARDIFF-BY- THE-SEA	СА	92007-2133	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv X	Form filed by One Re	ng (Check Applicable Line) porting Person an One Reporting Person			
(City)	(State)	(Zip)							

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (	Transaction Disposed Of (D) (Instr. 3 Code (Instr.			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock <sup>(1)</sup>	07/27/2015		М		185,150	A	\$0.00	3,355,690	D	
Common Stock	07/27/2015		F		96,612	D	\$25	3,259,078	D	

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		5. Numbe Derivativ Securitie Acquired Disposed (Instr. 3, 4	e s (A) or I of (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Reported Transaction(s) (Instr. 4)		
Restricted Stock Units	(1)	07/27/2015		Α		370,300		(2)	(2)	Common Stock	370,300	\$0.00	370,300	D	
Restricted Stock Units	(1)	07/27/2015		М			185,150	(2)	(2)	Common Stock	185,150	\$0.00	185,150	D	
Stock Option (right to buy)	\$25	07/27/2015		A		555,450		(3)	07/27/2025	Common Stock	555,450	\$0.00	555,450	D	

#### Explanation of Responses:

1. Each restricted stock unit ("RSU") represents a contingent right to receive one share of NantKwest, Inc. common stock.

2. Subject to the reporting person's continuing to be a Service Provider (as defined in the 2015 Equity Incentive Plan) through each applicable vesting date, the restricted stock units ("RSUs") will vest as follows: 50% of the RSUs vested on July 27, 2015, and 50% of the RSUs will vest on July 27, 2016.

3. Subject to the award recipient's continuing to be a Service Provider (as defined in the 2015 Equity Incentive Plan) through each applicable vesting date, the option will vest as follows: 50% of the shares subject to the option vested and became exercisable on July 27, 2015, and 50% of the shares subject to the option shall vest and became exercisable on July 27, 2016.

### **Remarks:**

<u>/s/ Richard Gomberg, as</u> <u>Attorney-in-Fact</u>

08/05/2015

Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.